Beneficial Ownership Record

1. **Complete sub-section 1 (as applicable, according to the type of entity).**
2. **Complete sub-section 2 for all entities.**
3. **Complete sub-section 3 if entity is a not-for-profit corporation.**
4. **Confirm accuracy of information in sub-sections 1, 2 and 3 (as a best practice) and document you did this in sub-section 4.**
5. **If you cannot obtain information in sub-sections 1, 2 or confirm its accuracy, complete sub-section 5.**

1. Record Keeping - General

Only complete the subsection that applies. Add additional pages if necessary.

A. For corporate entities:

Names of all directors of corporation: JASVIR SINGH BAL

Name and addresses of all persons who own directly or indirectly 25% or more of shares of corporation: JASVIR SINGH BAL
635 Old Tecumseh Road Belle River Ontario N0R 1A0

B. For trust entities:

Name and addresses of all trustees, known beneficiaries and settlors of trust:

C. For widely held or publicly held trust entities:

Name of all trustees:

Name and addresses of all persons who directly or indirectly own 25% or more of the units of the trust:

D. For entities other than corporations or trusts:

Name and addresses of all persons who own directly or indirectly 25% or more of entity:

Beneficial Ownership Record

2. Record Keeping – Ownership, Structure and control

For all entities, provide information explaining entity's ownership, control and structure. If the information is complex, you may wish to attach a diagram that shows the ownership, control and structure: JASVIR SINGH BAL

3. Record Keeping – Not-for-profit

If the entity is a not-for-profit organization:

Is entity a charity registered with the Canada Revenue Agency under the Income Tax Act?
☐ Yes ☐ No

If entity is not a charity, does entity solicit charitable donations from the public?
☐ Yes ☐ No

4. Confirm Accuracy of Information in Sections 1, 2 and (as a best practice) Section 3

Tick applicable boxes to document the measures you took to confirm the accuracy of information in sub-sections 1-3:

☑ Asked entity for information and they provided (check all that apply):

- ☐ Minute book
- ☐ Shareholders register
- ☐ Annual returns
- ☐ Shareholder agreement
- ☐ Board of director's meeting records of decisions
- ☐ Trust deed
- ☐ Securities register
- ☑ Articles of incorporation
- ☐ Certificate of corporate status
- ☐ Partnership agreement
- ☐ Other, explain

☐ Checked CRA list of charities (if sub-section 3 applies)
☐ Internet search
☑ Entity provided signed letter confirming the veracity of the information in Sections 1 and 2
☐ Other, explain:

(optional) Date(s) above measures taken:

5. Complete this sub-section if you cannot obtain information in sub-sections 1, 2 or confirm its accuracy in sub-section 4.

Tick applicable boxes for each task taken.

☐ Took reasonable measures to verify the identity of the entity's chief executive officer or the person who performs that function.
☐ Applied special measures for high-risk-clients.

Agents should speak to the Compliance Officer for direction if step 5 is necessary.